Attorney Docket: 038871.52853US

PATENT

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:

Pan HUAIYU et al.

Serial No.:

NOT YET ASSIGNED

Filed:

NOVEMBER 6, 2003

Title:

A PULSE TUBE REFRIGERATOR

Customer No.: 23911

Confirmation No.:

INFORMATION DISCLOSURE STATEMENT **UNDER 37 CFR §§ 1.97 and 1.98** 

Mail Stop NEW APP Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450 November 6, 2003

Sir:

In accordance with the duty of disclosure under 37 CFR §1.56, Applicant hereby notifies the U.S. Patent and Trademark Office of the documents which are listed on the attached Form PTO-1449 and/or listed herein and which the Examiner may deem relevant to patentability of the claims of the aboveidentified application.

In compliance with the concise explanation requirement under 37 CFR §1.98(a)(3) for foreign language documents, Applicant encloses herewith a copy of a corresponding foreign Search Report citing such documents, together with an

Serial No. NOT YET ASSIGNED

English-language version (if not already included) of that portion of the Search

Report indicating the degree of relevance found by the foreign office.

The present Information Disclosure Statement is being filed (1) no later

than three months from the application's filing date or (2) before the mailing

date of the first Office Action on the merits (whichever is later), and therefore no

certification under 37 C.F.R. §1.97(e) or fee under 37 C.F.R. §1.17(p) is required.

The submission of the listed documents is not intended as an admission

that any such document constitutes prior art against the claims of the present

application. Applicant does not waive any right to take any action that would be

appropriate to antedate or otherwise remove any listed document as a competent

reference against the claims of the present application.

Respectfully submitted,

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- 2 -

Attorney Docket No. Serial No. FORM PTO-1449 U.S. Department of Commerce Patent & Trademark Office 038871.52853US Not yet assigned Applicant: Pan HUAIYU et al INFORMATION DISCLOSURE STATEMENT Filing Date: Group (Use several sheets if necessary) November 6, 2003 U.S. PATENT DOCUMENTS Examiner Document Sub-Filing Date Date Name Initial Number Class Class (if appropriate) AA 5,295,355 03/22/1994 Zhou et al 62 6 AB 5,435,136 07/25/1995 Ishizaki et al 60 517 ACADAE

## AF AG AHΑI AJFOREIGN PATENT DOCUMENTS TRANSLATION Examiner Document Sub-Initial Number Date Name Class Class AK 2 237 866 A 19.10.1990 UK $\dot{\mathrm{AL}}$ AMAN AO AP OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.) AQAR

DATE CONSIDERED EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication.

AS

**EXAMINER**